

SFY 2013
State Revolving Fund (DWSRF and CWSRF)
ANNUAL REVIEWS

Focus Areas/Issues for Discussion

West Virginia

Final
April 29, 2014

U.S. Environmental Protection Agency
Region III, Water Protection Division
Office of Infrastructure and Assistance
Philadelphia, PA

Region III SRF Annual Review Plan

The scope for a particular state SRF review is developed from:

- (1) EPA's Annual Review Guidance and Checklist,
- (2) Unresolved prior year PER action items,
- (3) Regional & HQ priorities and initiatives,
- (4) State-specific emerging issues, and
- (5) State Annual/Biannual Reports.

General SRF Review Topics

1. EPA annual review checklist (October 2013 version)
2. Prior year PER action items, if applicable
3. Audit findings, if applicable
4. Project/Loan Files (2 per program)
5. Transaction Testing (Statistical Sample + additional as needed)
6. Sustainability and Climate Change checklist
7. Ensure all funded projects on approved IUP & PPL
8. Staff turnover
9. Training needs
10. Progress on achieving Short-term and Long-term Goals
11. CBR/PBR reporting
12. NIMS verification
13. Reconciliation of financial data
14. Disadvantage Assistance (DW only)
15. Green Project Reserve (CW only)
 - Identifying projects
 - Compliance with 20%
 - Business cases on website
16. Grant Compliance:
 - Additional Subsidy
 - FFATA
 - Proportionality concerns
17. Goals, Priorities, and New Initiatives
 - Pace (target/actual result)
 - Unliquidated obligations
 - Operating Agreements
 - Enforcement Targeting Tool (ETT) – DW only
18. ARRA: Status of Incomplete joint funded projects
19. Talk to state agencies about joining the WaterSense program- current members include:
 - PA DEP
 - VA DEQ
 - VDH

State-Specific SRF Topics

West Virginia

1. CW&DW: Status of draft IUP/PPL
2. CW: Obtain copy of Kathy's most recent GPR/AS tracking sheet.